

# Hilbert modular forms from orthogonal modular forms on quaternary lattices

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# Lattices and quadratic forms

Let  $F$  be a totally real number field with ring of integers  $R = \mathbb{Z}_F$ .  
Let  $Q : V \rightarrow F$  be a totally positive definite quaternary  
( $\dim_F V = 4$ ) quadratic space with associated bilinear form

$$T(x, y) := Q(x + y) - Q(x) - Q(y).$$

Let  $\Lambda \subseteq V$  be an even **integral** lattice, so that  $Q(\Lambda) \subseteq R$ .  
Define  $\text{disc}(\Lambda) = \langle \det[T]_B : B \subseteq \Lambda \rangle \subseteq R$ .  
When  $F = \mathbb{Q}$ , we write  $\text{disc}(\Lambda) = D\mathbb{Z}$ .

## Theorem (Hecke (1940))

If  $F = \mathbb{Q}$ ,  $N$  prime, and  $D = N^2$ , then

$$\theta_\Lambda(z) = \theta_{\Lambda,1}(z) = \sum_{\lambda \in \Lambda} q^{Q(\lambda)} \in M_2(N), \quad q = e^{2\pi iz}$$

# Quaternion algebras

Let  $B$  be definite quaternion algebra over  $F$ ,  $\mathcal{O}$  an  $R$ -order in  $B$ . Two right  $\mathcal{O}$ -ideals  $I, J$  are **isomorphic**, written  $I \simeq_r J$ , if there exists  $\alpha \in B^\times$  such that  $I = \alpha J$ .

Let

$$\text{Idl}_r(\mathcal{O}) = \{I \subseteq B : I_{\mathfrak{p}} \simeq_r \mathcal{O}_{\mathfrak{p}} \text{ for all } \mathfrak{p}\}$$

be the set of locally principal right  $\mathcal{O}$ -ideals.

The **(right) class set**  $\text{cls}(\mathcal{O}) = \text{Idl}_r(\mathcal{O}) / \simeq$  is the set of (global) isomorphism classes in  $\text{Idl}_r(\mathcal{O})$ .

Then  $\text{nrd} : B \rightarrow F$  is a totally positive definite quadratic space, and for every  $I \in \text{Idl}_r(\mathcal{O})$ ,  $\frac{1}{\text{nrd}(I)}I$  is an even integral lattice.

## Conjecture (Hecke (1940))

*If  $N$  is prime,  $\text{disc}(B) = N$ ,  $\mathcal{O}$  maximal order, then*

$$\{\theta_{\Lambda_1} - \theta_{\Lambda_2} : \Lambda_1, \Lambda_2 \in \text{cls}(\mathcal{O})\}$$

*generate  $S_2(N)$ .*

# Eichler's Basis Problem

## Example (Eichler (1955))

When  $N = 37$ ,  $\text{cls}(\mathcal{O}) = \{[I_1], [I_2], [I_3]\}$ , with  $\theta_{I_2} = \theta_{I_3}$ , while  $\dim S_2(37) = 2$ . Hecke's conjecture is false.

## Theorem (Eichler (1955))

*For prime  $N$ , there exist lattices  $\{\Lambda_i\}$  of discriminant  $N^2$  such that  $\{\theta_{\Lambda_i} - \theta_{\Lambda_j}\}$  generate  $S_2(N)$ .*

## Theorem (Hijikata, Pizer, and Shemanske (1989))

*For all  $N$ , there exist lattices  $\{\Lambda_i\}$  of discriminant  $N^2$  such that  $\{\theta_{\Lambda_i} - \theta_{\Lambda_j}\}$  and their twists generate  $S_2(N, \chi)$ .*

# Genus and Class set

We define the orthogonal group

$$O(V) = \{g \in GL(V) : Q(gv) = Q(v)\}$$

$$O(\Lambda) = \{g \in O(V) : g\Lambda = \Lambda\}$$

and write  $SO(V)$  and  $SO(\Lambda)$  for those with  $\det(g) = 1$ .

Lattices  $\Lambda, \Pi$  are **isometric**, written  $\Pi \simeq \Lambda$ , if there exists  $g \in O(V)$  such that  $g\Lambda = \Pi$ .

The **genus** of  $\Lambda \subseteq V$  is

$$\text{gen}(\Lambda) := \{\Pi \subseteq V : \Lambda_p \simeq \Pi_p \text{ for all } p\}.$$

The **class set**  $\text{cls}(\Lambda) = \text{gen}(\Lambda) / \simeq$  is the set of (global) isometry classes in  $\text{gen}(\Lambda)$ .

## Theorem (Eichler (1955))

If  $N$  is prime,  $D = N^2$ , then

$$\{\theta_{\Lambda_1} - \theta_{\Lambda_2} : \Lambda_1, \Lambda_2 \in \text{cls}(\Lambda)\}$$

generate  $S_2(N)$ .

- What happens for  $D \neq \square$  ?
- $\theta$  is not injective. Can we get modular forms without  $\theta$ ?

# Fun with $L$ -functions

Lattice  $\Lambda$  **magic**  $\rightsquigarrow$  **orthogonal modular forms**  $\phi_i$ .

Example ( $n = 4, D = 37^2$ )

For  $\Lambda$  with Gram matrix  $\begin{pmatrix} 2 & 0 & 1 & 1 \\ 0 & 4 & 1 & 2 \\ 1 & 1 & 10 & 1 \\ 1 & 2 & 1 & 20 \end{pmatrix}$  we get

$$L_p(\phi_1, T) = (1 - T)(1 - pT)^2(1 - p^2T)$$

$$L_p(\phi_2, T) = (1 - (a_p^2 - 2p)T + p^2T^2)(1 - pT)^2$$

$$L_p(\phi_3, T) = (1 - (b_p^2 - 2p)T + p^2T^2)(1 - pT)^2$$

$$L_p(\phi_4, T) = (1 - pb_pT + p^3T^2)(1 - b_pT + pT^2)$$

where  $a_p, b_p$  are coefficients of [37.2.a.a](#) and [37.2.a.b](#).



# Symmetric Square $L$ -functions

Lattice  $\Lambda$  **magic**  $\rightsquigarrow$  **orthogonal modular forms**  $\phi_i$ .

Example ( $n = 4, D = 37^2$ )

For  $\Lambda$  with Gram matrix  $\begin{pmatrix} 2 & 0 & 1 & 1 \\ 0 & 4 & 1 & 2 \\ 1 & 1 & 10 & 1 \\ 1 & 2 & 1 & 20 \end{pmatrix}$  we get

$$L_p(\phi_1, T) = (1 - T)(1 - pT)^2(1 - p^2T)$$

$$L_p(\phi_2, T) = (1 - pT)L_p(\text{Sym}^2(f), T)$$

$$L_p(\phi_3, T) = (1 - pT)L_p(\text{Sym}^2(g), T)$$

$$L_p(\phi_4, T) = L_p(E \otimes f, T)$$

where  $f, g \in S_2(37)$  are [37.2.a.a](#) and [37.2.a.b](#).

time ( $p < 100$ ): 109.15s (2.3GHz 8-Core Intel Core i9)





# Neighbors

Kneser's theory of  $\mathfrak{p}^k$ -neighbors gives an effective method to compute the class set.

Let  $\mathfrak{p} \nmid \text{disc}(\Lambda)$  be a prime;  $\mathfrak{p} \mid 2$  is OK.

We say that an integral lattice  $\Pi \subseteq V$  is a  **$\mathfrak{p}^k$ -neighbor** of  $\Lambda$ , and write  $\Pi \sim_{\mathfrak{p}^k} \Lambda$  if

$$\Lambda/(\Lambda \cap \Pi) \simeq (R/\mathfrak{p}R)^k \simeq \Pi/(\Lambda \cap \Pi),$$

If  $\Lambda \sim_{\mathfrak{p}^k} \Pi$  then  $\Pi \in \text{gen}(\Lambda)$ .

Moreover, there exists  $S$  such that every  $[\Pi] \in \text{cls}(\Lambda)$  is an **iterated  $S$ -neighbor** of  $\Lambda$ .

$$\Lambda \sim_{\mathfrak{p}_1} \Lambda_1 \sim_{\mathfrak{p}_2} \cdots \sim_{\mathfrak{p}_r} \Lambda_r \simeq \Pi$$

with  $\mathfrak{p}_i \in S$ . Typically may take  $S = \{\mathfrak{p}\}$ .

## Example - Computing the class set

Let  $\Lambda = \mathbb{Z}^4$  with the quadratic form

$$Q(x_1, x_2, x_3, x_4) = x_1^2 + x_1x_2 + x_2^2 + x_3^2 + x_1x_4 + x_3x_4 + 3x_4^2$$

and bilinear form given by Let

$$\Lambda = \begin{pmatrix} 2 & 1 & 0 & 1 \\ 1 & 2 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 1 & 0 & 1 & 6 \end{pmatrix}$$

Thus  $\text{disc}(\Lambda) = 29$ . We have  $\#\text{cls}(\Lambda) = 2$ , with the nontrivial class represented by the 2-neighbor

$$\Lambda' = \frac{1}{2}\mathbb{Z}(e_2 + e_4) + 2\mathbb{Z}e_3 + \mathbb{Z}e_1 + \mathbb{Z}e_4.$$

with corresponding quadratic form

$$Q(x) = x_1^2 + x_1x_2 + 4x_2^2 + x_1x_3 + x_3^2 + 3x_1x_4 + 2x_2x_4 + x_3x_4 + 3x_4^2$$

# Orthogonal modular forms

The space of **orthogonal modular forms** of level  $\Lambda$  (and trivial weight) is

$$M(\Lambda) := \{\phi : \text{cls}(\Lambda) \rightarrow \mathbb{Q}\} \simeq \mathbb{Q}^{h(\Lambda)}$$

For  $p \nmid \text{disc}(\Lambda)$  define the **Hecke operator**

$$T_{p^k} : M(\Lambda) \rightarrow M(\Lambda)$$
$$\phi \mapsto \left( [\Lambda'] \mapsto \sum_{\Pi' \sim_{p^k} \Lambda'} \phi([\Pi']) \right)$$

The Hecke operators commute and are self-adjoint, hence there is a basis of simultaneous eigenvectors - **eigenforms**. (Gross, 1999)

## Example - square discriminant

Let  $\Lambda$  have the Gram matrix

$$[T_\Lambda] = \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 1 & 0 \\ 0 & 1 & 6 & 0 \\ 1 & 0 & 0 & 6 \end{pmatrix}$$

so that  $\text{disc}(\Lambda) = \det T = 11^2$ . Then  $h(\Lambda) = 3$ .

Write  $\text{cls}(\Lambda) = \{[\Lambda] = [\Lambda_1], [\Lambda_2], [\Lambda_3]\}$ . Then a basis of eigenforms is given by

$$\begin{aligned} \phi_1 &= [\Lambda_1] + [\Lambda_2] + [\Lambda_3], & \phi_2 &= 4[\Lambda_1] - 6[\Lambda_2] + 9[\Lambda_3] \\ \phi_3 &= 4[\Lambda_1] + [\Lambda_2] - 6[\Lambda_3], \end{aligned}$$

and we have

$$\theta(\phi_1) = \frac{5}{12} + q + 3q^2 + 4q^3 + 7q^4 + 6q^5 + 12q^6 + O(q^7) \in E_2(11)$$

$$\theta(\phi_2) = q - 2q^2 - q^3 + 2q^4 + q^5 + 2q^6 - 2q^7 + O(q^9) \in S_2(11)$$

where  $T_p(\phi_2) = \lambda_p \phi_2$  with  $\lambda_2 = 4, \lambda_3 = 1, \lambda_5 = 1, \lambda_7 = 4, \dots$

## Back to $L$ -functions

Letting  $D^* = (-1)^{\frac{n}{2}} D$  there is a natural family of theta maps:

$$\theta^{(g)} : M(\Lambda) \rightarrow M_{\frac{n}{2}}(\Gamma_0^{(g)}(D), \chi_{D^*}).$$

Theorem (A., Fretwell, Ingalls, Logan, Secord, and Voight (2022), consequence of Rallis (1982))

If  $n$  is even,  $\phi$  is an eigenform and  $f = \theta^{(g)}(\phi) \neq 0$  with  $2g < n$ :

$$L(\phi, s) = L(\chi_{D^*} \otimes f, \text{std}, s - \left(\frac{n}{2} - 1\right)) \prod_{i=g - \left(\frac{n}{2} - 1\right)}^{\left(\frac{n}{2} - 1\right) - g} \zeta\left(s + i - \left(\frac{n}{2} - 1\right)\right).$$

If  $g = 1$ , then obtain  $L(\chi_D \otimes \text{Sym}^2(f), s)$  and zeta factors so

$$\lambda_{p,1} = a_p^2 - \chi_{D^*}(p)p^{\frac{n}{2}-1} + p \left( \frac{p^{n-3} - 1}{p - 1} \right)$$

where  $a_p$  are the eigenvalues of  $f$ .

## Example - Nonsquare discriminant

Let  $\Lambda$  be as before with discriminant 29. By checking isometry we compute w.r.t. basis  $[\Lambda'], [\Lambda]$

$$[T_2] = \begin{pmatrix} 1 & 2 \\ 4 & 3 \end{pmatrix}, [T_3] = \begin{pmatrix} 4 & 3 \\ 6 & 7 \end{pmatrix}, [T_5] = \begin{pmatrix} 18 & 9 \\ 18 & 27 \end{pmatrix}, \dots$$

The constant function  $\phi_1 = [\Lambda] + [\Lambda']$  is an **Eisenstein series** with  $T_p(\phi_1) = (p^2 + (1 + \chi_{29}(p)) + 1)\phi_1$ . Another eigenvector is  $\phi_2 = [\Lambda] - 2[\Lambda']$ , with  $T_p(\phi_2) = \lambda_p \phi_2$

$$\lambda_2 = -1, \lambda_3 = 1, \lambda_5 = 9, \lambda_7 = 4, \lambda_{11} = 17, \dots$$

But

$$\theta(\phi_2) = q - \frac{3}{2}q^2 + \frac{3}{2}q^3 - 3q^4 - 3q^5 + O(q^6)$$

is **not an eigenform**. We match it with the **Hilbert modular form** labeled [2.2.29.1-1.1-a](#) in the LMFDB.



## Towards a bijection?

Would like to have a bijection between **orthogonal modular forms** and **Hilbert modular forms**, but... Consider

$Q(x) = x_1^2 + x_2^2 + x_3^2 + x_1x_4 + x_2x_4 + 3x_4^2$  with Gram matrix

$$[T_\Lambda] = \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 1 \\ 0 & 0 & 2 & 0 \\ 1 & 1 & 0 & 6 \end{pmatrix}$$

and  $\text{disc}(\Lambda) = 40$ .

- Then  $\dim S(\Lambda) = 1 \neq 2 = \dim S_2(\mathbb{Z}[\sqrt{10}])$ .
- This is because of the lattice  $\Lambda_2$  with form  $Q_2(x) = x_1^2 + x_2^2 + 2x_3^2 + x_2x_4 + 2x_3x_4 + 2x_4^2$ .
- Although  $\Lambda_2 \notin \text{gen}(\Lambda_1)$ , it is everywhere locally **similar** to  $\Lambda_1$ .

# Similarity classes

We define the general orthogonal group

$$\begin{aligned} \mathrm{GO}(V) &= \{g \in \mathrm{GL}(V) : Q(gv) = \mu(g)Q(v), \quad \mu(g) \in F^\times\} \\ \mathrm{GO}(\Lambda) &= \{g \in \mathrm{GO}(V) : g\Lambda = \Lambda\} \end{aligned}$$

and write  $\mathrm{GSO}(V)$  and  $\mathrm{GSO}(\Lambda)$  for those with  $\det(g) > 0$ .

Lattices  $\Lambda, \Pi$  are **similar**, written  $\Pi \sim \Lambda$ , if there exists  $g \in \mathrm{GO}(V)$  such that  $g\Lambda = \Pi$ .

The **similarity genus** of  $\Lambda$  is

$$\mathrm{sgen}(\Lambda) := \{\Pi \subseteq V : \Lambda_p \sim \Pi_p \text{ for all } p\}.$$

The **similarity class set**  $\mathrm{scls}(\Lambda) = \mathrm{sgen}(\Lambda) / \sim$  is the set of (global) similarity classes in  $\mathrm{sgen}(\Lambda)$ .



# GO modular forms

The space of algebraic modular forms for  $GO(V)$  of level  $\Lambda$  (with trivial weight) is

$$M(GO(\Lambda)) := \{f : \text{scls}(\Lambda) \rightarrow \mathbb{Q}\} \simeq \mathbb{Q}^{h_s(\Lambda)}$$

$M(GO(\Lambda))$  has additional Hecke operators  $T_p$  at split primes. We say that integral lattices  $\Pi \subseteq \Lambda \subseteq V$  are **p-neighbors** if

$$\Lambda/\Pi \simeq (R/\mathfrak{p}R)^2 \simeq \Pi/\mathfrak{p}\Lambda,$$

and write  $N(\Lambda, \mathfrak{p})$  for the set of  $\mathfrak{p}$ -neighbors of  $\Lambda$ . For  $\mathfrak{p} \nmid \text{disc}(\Lambda)$  define the **Hecke operator**

$$T_p : M(GO(\Lambda)) \rightarrow M(GO(\Lambda))$$
$$\phi \mapsto \left( [\Lambda'] \mapsto \sum_{\Pi' \in N(\Lambda', \mathfrak{p})} \phi([\Pi']) \right)$$

# Residually binary lattices

We say that  $\Lambda$  is **residually binary at  $p$**  if  $\text{rank}(\Lambda/p\Lambda) \geq 2$ .

## Example

The lattice  $\mathbb{Z}^4$  with the form  $Q(x) = x_1^2 + 7x_2^2 + 7x_3^2 + 49x_4^2$  is **not residually binary at 7**.

If  $\Lambda$  is **residually binary everywhere**, can write  $\Lambda_p = \Lambda_{p,1} \perp \Lambda_{p,2}$  where  $\Lambda_{p,1}$  and  $\Lambda_{p,2}$  are binary, and  $\text{disc } \Lambda_{p,1} = R_p$  for every  $p$ . We define the **fundamental discriminant** of  $\Lambda$  to be the ideal  $\mathfrak{D} = \mathfrak{D}(\Lambda) \subseteq R$  such that  $\text{disc}(\Lambda_{p,2}) = \mathfrak{D}_p Q(\Lambda_{p,2})^2$ .

## Example

If  $\Lambda$  is maximal, and  $K = F[\sqrt{D}]$ , then  $\mathfrak{D}(\Lambda) = \text{disc } K$ .

Let  $\mathfrak{M} = \mathfrak{M}(\Lambda)$  be the product of anisotropic primes.

## Narrow class number one

In the case where  $Cl^+(F) = 1$ , the result is simpler to describe.

Theorem (A., Fretwell, Ingalls, Logan, Secord, and Voight (2022))

Let  $\text{disc}(\Lambda) = \mathfrak{D}\mathfrak{N}^2$  with  $\mathfrak{N}$  squarefree,  $K = F[\sqrt{D}]$ . Then

$$S(GO(\Lambda)) \hookrightarrow G_{K|F} \backslash S_2(\mathfrak{N}\mathbb{Z}_K)$$

with image the orbits in  $S_2(\mathfrak{N}\mathbb{Z}_K; W = \epsilon)^{\mathfrak{m}\text{-new}}$

- $G_{K|F} = \text{Gal}(K|F)$  acts naturally on the space of Hilbert modular forms.
- For  $\mathfrak{p} \mid \mathfrak{N}$ , we set  $\epsilon_{\mathfrak{p}} = -1$  if  $\mathfrak{p} \mid \mathfrak{M}$ , else  $\epsilon_{\mathfrak{p}} = 1$ .
- $W_{\mathfrak{p}}$  is the Atkin-Lehner involution at  $\mathfrak{p}\mathbb{Z}_K \mid \mathfrak{N}\mathbb{Z}_K$ .

## The other forms

- The space of orthogonal modular forms of **weight**  $(k, j)$  is

$$M_{k,j}(\mathrm{GO}(\Lambda)) = \{f : \mathrm{scls}(\Lambda) \rightarrow W_{k,j} : f(gx) = \rho_{k,j}(g)f(x)\}.$$

- Twisting by the spinor norm, we obtain all the spaces

$$S_{k_1, k_2}(\mathfrak{N}\mathbb{Z}_K; W = \epsilon)^{\mathfrak{N}\text{-new}}$$

- The space  $S(\mathrm{O}(\Lambda))$  is identified as the forms invariant under twists by Hecke characters.
- If  $\mathrm{disc} V = 1$ ,  $K = F \times F$ , so that

$$M_{k_1, k_2}(\mathfrak{N}\mathbb{Z}_K) = M_{k_1}(\mathfrak{N}) \otimes M_{k_2}(\mathfrak{N}).$$

When  $F = \mathbb{Q}$ , this case was considered by Böcherer and Schulze-Pillot (1991).

## Special groups and Galois action

Can also define  $M(\mathrm{SO}(\Lambda))$  and  $M(\mathrm{GSO}(\Lambda))$ . If  $\mathfrak{p}$  is split,  $\mathfrak{p}\mathbb{Z}_K = \mathfrak{P}_1\mathfrak{P}_2$ , then

$$T_{\mathfrak{p}} = T_{\mathfrak{P}_1} + T_{\mathfrak{P}_2}, \quad T_{\mathfrak{p},2} = T_{\mathfrak{P}_1,2} + T_{\mathfrak{P}_2,2},$$

coming from splitting of the  $\mathfrak{p}^2$ -neighbors ( $\mathfrak{p}$ -neighborhoods) to two orbits.

Since over a local field, every lattice is stable under a reflection, the natural quotient map

$$M(\mathrm{GSO}(\Lambda)) \rightarrow M(\mathrm{GO}(\Lambda))$$

induces an isomorphism

$$M(\mathrm{GO}(\Lambda)) = M(\mathrm{GSO}(\Lambda))_{\mathrm{GO}(V)/\mathrm{GSO}(V)},$$

and  $\mathrm{GO}(V)/\mathrm{GSO}(V) \simeq G(K|F)$ .

# Key ideas - Quaternions and even Clifford

The even Clifford algebra  $B = C_0(V)$  is quaternion with center  $K$ .  
Even Clifford extends to a functor

$$C_0 : \text{GSO}(V) \rightarrow (B^\times \times F^\times)/K^\times.$$

Theorem (A., Fretwell, Ingalls, Logan, Secord, and Voight (2024))

*The even Clifford functor induces an isomorphism*

$$C_0^* : M_\rho(C_0(\Lambda)^\times, \psi^{-1} \circ \text{Nm}_{K|F})^{AL_F(C_0(\Lambda))} \longrightarrow M_{C_0^* \rho}(\text{GSO}(\Lambda), \psi).$$

- Sends  $\mathfrak{P}$ -neighbors to  $\mathfrak{P}$ -neighbors.
- Sends  $\mathfrak{p}^1$ -neighbors to  $\mathfrak{p}\mathbb{Z}_K$ -neighbors.
- Also induces  $C_0 : \text{GO}(V)/F^\times \rightarrow \text{Aut}_F(B)$ , with

$$0 \rightarrow B^\times/K^\times \simeq \text{Aut}_K(B) \rightarrow \text{Aut}_F(B) \rightarrow \text{Gal}(K|F) \rightarrow 0.$$

$$\bullet \bullet \cong \begin{matrix} \bullet \\ \bullet \end{matrix} [A_1 \times A_1 = D_2, \text{equiv. } \mathfrak{sl}_2 \oplus \mathfrak{sl}_2 \cong \mathfrak{so}_4]$$

## General narrow class number

If  $\text{Cl}^+(F) \neq 1$ ,  $M(\text{GO}(\Lambda)) \rightarrow M(\text{O}(\Lambda))$  is no longer surjective!

### Example

Let  $F = \mathbb{Q}(\sqrt{3})$ , and consider the lattice

$$[\Lambda] = \begin{pmatrix} 2 & 0 & 0 & \sqrt{3} \\ 0 & 50 & 15\sqrt{3} & 10\sqrt{3} \\ 0 & 15\sqrt{3} & 14 & 9 \\ \sqrt{3} & 10\sqrt{3} & 9 & 8 \end{pmatrix}$$

with  $\text{disc } \Lambda = 25\mathbb{Z}_F$ , and consider a lattice  $\Lambda'$  with  $[\Lambda'] = \varepsilon[\Lambda]$ , where  $\varepsilon = 2 + \sqrt{3} \in R_{>0}^\times$ .

Then  $\Lambda \sim \Lambda'$ , and  $\Lambda_{\mathfrak{p}} \simeq \Lambda'_{\mathfrak{p}}$  for all  $\mathfrak{p}$  but  $\Lambda \not\cong \Lambda'$ .

Thus the natural map  $\text{cls}(\Lambda) \rightarrow \text{scls}(\Lambda)$  is **not injective**.

# Solution and minusforms

## Proposition

There are certain finite abelian groups  $U = R_{>0}^\times / R^{\times 2}$  and  $X_\mu = \text{Cl}_{>F0}(K)^\vee$  such that for every character  $\psi_U$  on  $U$ , we have

$$M(\text{SO}(\Lambda), \psi_U) \simeq M(\text{GSO}(\Lambda), \psi_U^{-1} \circ \mu)^{X_\mu}$$

When  $\psi_U$  is nontrivial,  $C_0^*$  maps these to Hilbert modular forms for  $\text{SL}_2(\mathbb{Z}_K)$  with unit character  $\psi_U$ .

## Example

Let  $F = \mathbb{Q}(\sqrt{3})$  and  $\Lambda$  with  $\text{disc } \Lambda = 25\mathbb{Z}_F$  as above, and let  $\psi_U(\varepsilon) = -1$  be nontrivial. We obtain an eigenform  $\phi$  with

$$\lambda_7 = 64, a_{p_{11}} = 24, \lambda_{p_{13}} = 4, \lambda_{17} = 4, \lambda_{19} = 196,$$

which corresponds to  $f \in S_2(\Gamma_0^1(5\mathbb{Z}_F))$  for  $\text{SL}_2(\mathbb{Z}_F)$ , with

$$a_7 = 8, a_{p_{11}} = \sqrt{24}, a_{p_{13}} = 2, a_{17} = 2, a_{19} = 14.$$



# Applications - non vanishing

We obtain commutative diagrams of Hecke modules

$$\begin{array}{ccc} S(\mathcal{O})_{G_K}^{AL_F(\mathcal{O})} & \xleftarrow{C_0^*} & S(\mathrm{GO}(\Lambda)) \\ \updownarrow JL & & \downarrow \theta_2 \\ S(\mathfrak{N}\mathbb{Z}_K, W = \epsilon)_{G_K}^{\mathfrak{M}\text{-new}} & \longrightarrow & S^{(2)}(\Gamma_0^{(2)}(\mathfrak{N}), \chi_K) \end{array}$$

The bottom line is:

- Yoshida lift when  $K = F \times F$  and  $f, g$  are both cuspidal.
- Saito-Kurokawa lift when  $K = F \times F$  otherwise.
- Asai lift when  $K$  is a field.

Shows that when  $K$  is a field (e.g.  $D = 4p$  (Kaylor, 2019)),  $\theta_2$  is injective (non-vanishing).

# Applications - Hilbert modular surfaces

Corollary ((Chan, 1999), Theorem 1)

Let  $K = \mathbb{Q}(\sqrt{D})$ , and let  $Y_\epsilon$  be the components of the Hilbert modular surface over  $K$ .

$$\sum_{\epsilon \in \text{Cl}^+(K)/\text{Cl}^+(K)^2} (p_g(Y_\epsilon) + 1) = \sum_{\Lambda} \# \text{cls}(\text{SO}(\Lambda)),$$

where  $\Lambda$  ranges over genera of lattices of discriminant  $D$ .

Proof.

Main theorem implies  $\dim_{\mathbb{Q}} S_2(\mathbb{Z}_K) = \dim_{\mathbb{Q}} S(\text{GSO}(\Lambda))$ . But

$$\# \text{Cl}^+(K)/\text{Cl}^+(K)^2 = \#\mu(\text{cls}(\text{GSO}(\Lambda))) = \dim_{\mathbb{Q}} E(\text{GSO}(\Lambda)),$$

$$\dim_{\mathbb{Q}} S_2(\mathbb{Z}_K) + \# \text{Cl}^+(K)/\text{Cl}^+(K)^2 = \dim_{\mathbb{Q}} M(\text{GSO}(\Lambda)),$$

which yields the result. □

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